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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN  
APPLICATION DATA SHEET (37 CFR 1.76)**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or  
☒ Application No. 09/854,905, filed on May 15, 2001,  
☐ as amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

**FULL NAME OF INVENTOR(S)**Inventor one: Brian MooreSignature: Citizen of: Canada

Inventor two: \_\_\_\_\_

Signature: \_\_\_\_\_

Citizen of: \_\_\_\_\_

Inventor three: \_\_\_\_\_

Signature: \_\_\_\_\_

Citizen of: \_\_\_\_\_

Inventor four: \_\_\_\_\_

Signature: \_\_\_\_\_

Citizen of: \_\_\_\_\_

☐ Additional inventors are being named on \_\_\_\_\_ additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Brian Moore

Application No./Patent No.: 09/854,905

Filed/Issue Date: May 15, 2001

Entitled: WIRELESS RADIO FREQUENCY TECHNIQUE DESIGN AND METHOD FOR TESTING OF INTEGRATED CIRCUITS AND WAFERS

The Governors of the University of Alberta, a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title, and interest

The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012133, Frame 0360, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

February 25, 2004

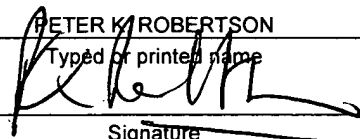
Date

780-492-5787

Telephone Number

PETER K. ROBERTSON

Typed or printed name



Signature

Associate VP (Research)

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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Washington, D.C. 20231

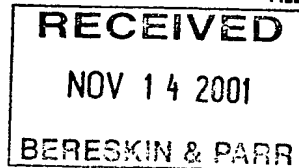
NOVEMBER 07, 2001

PTAS

BERESKIN & PARR  
STEPHEN M. BENEY  
40 KING STREET WEST, BOX 401  
TORONTO, ONTARIO  
M5H 3Y2 CANADA



\*101836974A\*



11/15/01-2  
NOV 15 2001

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/04/2001

REEL/FRAME: 012133/0360  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
MOORE, BRIAN

DOC DATE: 08/28/2001

ASSIGNEE:  
GOVERNORS OF THE UNIVERSITY OF  
ALBERTA, THE  
8625- 112 STREET  
222 CAMPUS TOWER  
EDMONTON, ALBERTA, CANADA T6G-2E1

SERIAL NUMBER: 09854905  
PATENT NUMBER:

FILING DATE: 05/15/2001  
ISSUE DATE:

TARA WASHINGTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

RI

09-10-2001



IEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

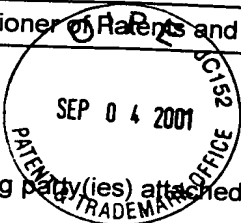
101836974

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

Name of conveying party(ies)

Brian Moore

Additional name(s) of conveying party(ies) attached?  
Yes ☐ No ☒



9-4-01

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

Other

Execution Date: August 28, 2001

2. Names and address of receiving party(ies):

Name: The Governors of the University of Alberta

Internal Address: 222 Campus Tower

Street Address: 8625-112 Street

City: Edmonton

State: Alberta

ZIP: T6G-2E1

Country: Canada

Additional names/addresses attached? Yes ☐ No ☒

4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date if the application is:

A. Patent Application No. (s) 09/854,905

B. Patent No.(s)

Additional numbers attached? Yes ☐ No ☒

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen M. Beney

Internal Address: c/o Bereskin & Parr

6. Total number of applications and patents involved: ..... 1

Street Address: Box 401, 40 King Street West

7. Total fee (37 CFR 3.41)..... \$ 40.00

☒ Enclosed

☐ Authorized to charge any deficiency or credit any overpayment to our deposit account.

City: Toronto State: Ontario ZIP: M5H 3Y2

Country: Canada

09/06/2001 MBERHE

00000001 09854905

04 FC:581

40.00 0

8. Deposit account number:

02-2095

**UNITED STATES  
ASSIGNMENT**

WHEREAS I, **BRIAN MOORE**, whose full post office address is **234 Ockenden Place, Edmonton, Alberta, T6R-1K6, Canada**, have invented certain new and useful improvements in an invention entitled **Wireless Radio Frequency Technique Design And Method For Testing Of Integrated Circuits And Wafers** for which an application for United States Letters Patent was filed on **May 15, 2001** as serial No. **09/854,905** and a PCT application was filed on **May 15, 2001** as serial No. **PCT/CA01/00688**.

AND WHEREAS, **The Governors of the University of Alberta**, a non-profit organization of **222 Campus Tower, 8625-112 Street, Edmonton, Alberta, Canada, T6G-2E1**, has acquired from me the whole right, title and interest for the United States of America, Canada and all other foreign countries in and to the said invention and in and to any Letters Patent that may be obtained therefor, and in and to said application.

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of all of which is hereby acknowledged, I, **BRIAN MOORE**, by these presents confirm that I have sold, assigned and transferred and do hereby sell, assign and transfer unto the said **The Governors of the University of Alberta**, the full and exclusive right to the said invention in the United States of America, Canada and all other foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor, and the entire right, title and interest in and to said application, and in and to any divisions, continuations, continuations-in-part and extensions of said application.

I agree that I will without further consideration do all such things and execute all such documents as may be necessary or desirable to obtain and maintain patents for said invention and for additions and modifications thereto in

any and all countries, and to vest title thereto in said assignee, its successors, assigns and legal representatives or nominees.

I hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said **The Governors of the University of Alberta**, the assignee of the entire right, title and interest in and to the same, for its sole use and benefit, and for the use and benefit of its successors and assigns, to the full end of the term for which Letters Patent may be granted as fully and entirely as the same would have been held by me had this assignment and sale not been made.

The undersigned hereby grant(s) the firm of Bereskin & Parr (Box 401, 40 King Street West, Toronto, Ontario, Canada M5H 3Y2) the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

SIGNED this 28 day of Aug

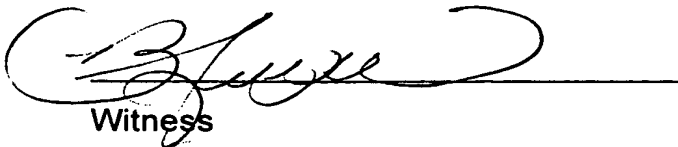
, 2001, at EDMONTON, ALTA.



Witness



BRIAN MOORE



Witness



The Governors of the University of

Alberta

ACTING DIRECTOR  
INDUSTRY LIAISON OFFICE

LAINE WOOLLARD, B.Sc. (Pharm), LL.B  
Barrister and Solicitor, Notary Public  
Legal Counsel, Technology Commercialization  
INDUSTRY LIAISON OFFICE, U of A